

*Dep and Ref
Room 307*

Attorney Docket No. 980126CIP/HG

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Nobufusa SERIZAWA et al

Serial No. : 09/499,662

Filed : February 9, 2000

For : ANTI-FAS ANTIBODIES

ATTENTION: REFUND SECTION
ACCOUNTING DIVISION
OFFICE OF FINANCE

REQUEST FOR REFUND

Hon. Commissioner of Patents
and Trademarks

S I R :

Attached hereto is a highlighted copy of our February 2000 Monthly Statement of Deposit Account 06-1378. It is respectfully requested that the two charges appearing on February 17, 2000 -- \$298 for independent claims in excess of three (Control No. 4, Fee Code 102), and \$260 for multiple dependent claims (Control No. 6, Fee Code 104), be refunded.

Attached are copies of the filing transmittal letter for the above-identified application with its returned receipt postcard, our cancelled check #77981, in the amount of \$5,888, and the official Filing Receipt. The Filing Receipt shows a total of 119 claims, 25 of which are independent. There are, however, 23 independent claims. At the time of filing, payment included 19 independent claims (22 total independent claims) ON A charge for \$78, not \$298 would have been proper. Thus, \$220 should be credited.

CERTIFICATE OF MAILING

#6

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date noted below.

Richard S. Barth

Attorney: Richard S. Barth

Dated: July 25, 2000

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

Further, it can be seen on the transmittal letter that \$260, for multiple dependent claims, was included in our payment, by check, at the time of filing. No additional fee was required.

Accordingly, it is respectfully requested that Deposit Account 06-1378 be credited \$220 for overcharging on independent claims (Control No. 4, Fee Code 102), and credited for the \$260 charge, made in error (Control No. 6, Fee Code 104), for multiple dependent claims previously paid.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard S. Barth", with a large, stylized loop at the end.

Richard S. Barth
Reg. No. 28,180

Frishauf, Holtz, Goodman,
Langer & Chick, P.C.
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and
return top portion with your check. Make check
payable to Commissioner of Patents & Trademarks.

Account No.	061378
Date	2-29-00
Page	1

FRISHAUF, HOLTZ, GOODMAN LANGER & CHICK FINA
ATTN CATHERINE BACON
767 THIRD AVENUE
25TH FLOOR
NEW YORK NY 10017-2023

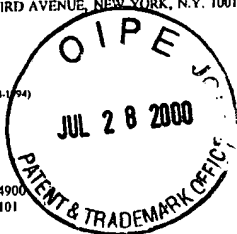
PLEASE SEND REMITTANCES TO:
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
2	9	00	1	09268406	990074/TL	103	54.00	7960.96
2	9	00	78	09494114	00036/LH	704	-78.00	8038.96
2	9	00	82	1580536	88773/LH	372	100.00	7938.96
2	9	00	229	75021930	950649/LH	704	-240.00	8178.96
2	10	00	2	09098064	980392/LH	103	180.00	7998.96
2	10	00	3	09098064	980392/LH	102	78.00	7920.96
2	10	00	50	75597607	980648LHRPM	361	650.00	7270.96
2	11	00	57	09496697	00060/HG	704	-180.00	7450.96
2	11	00	142	08967178	970690/LH	103	20.00	7430.96
2	17	00	4	09499662	980126CIP/HG	102	298.00	7132.96
2	17	00	6	09499662	980126CIP/HG	104	260.00	6872.96
2	17	00	35	09440994	990708/HG	704	-380.00	7252.96
2	18	00	2	09117001	980468/HG	102	156.00	7096.96
2	22	00	34	PCT/US00/03837	9805838PCT/T	899	586.00	6510.96
2	22	00	36	PCT/US00/03837	9805838PCT/T	566	15.00	6495.96
2	23	00	85	09423940	990700/LH	960	-130.00	6625.96
2	23	00	88	09423940		704	-355.00	6980.96
2	28	00	1	09308552	990317/LH	103	-162.00	7142.96
2	28	00	1	09062120	980251/HG	103	18.00	7124.96
2	28	00	2	09308552	990317/LH	102	-78.00	7202.96
				OPENING BALANCE	TOTAL CHARGES		TOTAL CREDITS	CLOSING BALANCE
				8014.96	2415.00		1603.00	7202.96
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT								

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C.
ATTORNEYS AT LAW

767 THIRD AVENUE, NEW YORK, N.Y. 10017-2023

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Express Mail Ailing Label
No.: EL 425 833 425 US

Date of Deposit: February 9, 2000

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Dorothy DeFrancesco
Dorothy DeFrancesco

Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney Docket No. 980126CIP/HG

Pursuant to 37 CFR 1.53(b), transmitted herewith for filing is the CIP patent application of application Serial No. 09/053,583 filed April 1, 1998 and Serial No. 09/408,646 filed September 30, 1999

Inventor(s):

Nobufusa SERIZAWA	Kaori NAKAHARA
Kimihisa ICHIKAWA	Ikuko TAMAKI
Jun OHSUMI	Masahiko OHTSUKI
Hideyuki HARUYAMA	Tohru TAKAHASHI
Hiroko YOSHIDA	Akio SHIRAISHI
Shin YONEHARA	

Title: "ANTI-FAS ANTIBODIES"

Priority Claim (35 U.S.C. 119) is made, based upon:

<u>Japanese Patent Application No.</u>	<u>Filing Date</u>
Hei 9-82953	April 1, 1997
Hei 9-169088	June 25, 1997
Hei 9-276064	October 8, 1997
Hei 10-276881	September 30, 1998
Hei 10-276882	September 30, 1998

Enclosed herewith are:

- [X] Specification (Description, Claims, Abstract): Pages 1 - 372; Number of claims 1 - 119
- [X] Declaration and Power of Attorney (executed)
- [X] 69 Sheets of drawings, Figures 1 - 73 [X] Formal [] Informal
- [X] Assignment and Form PTO-1595 Recordation Form Cover Sheet and Check for \$40.00
- [X] Certified copies of Hei 10-276881 and Hei 10-276882 (Certified copies of the first three priority documents were filed in SN 09/053,583 on July 22, 1998)
- [X] COMPUTER READABLE FORM OF SEQUENCE LISTING, PAPER COPY OF SEQUENCE LISTING AND STATEMENT UNDER 37 CFR 1.821(f)
- [X] INFORMATION DISCLOSURE STATEMENT, including Form PTO-1449
- [X] SUBMISSION OF COPIES OF CERTIFICATES OF MICROORGANISM DEPOSITS
- [X] Receipt Postcard

	Number Filed	Number Extra	Rate	Calculations
Total Claims	<u>212</u> - <u>20</u> =	<u>192</u>	x \$18.00 =	\$ <u>3,456.00</u>
Independent Claims	<u>22</u> - <u>3</u> =	<u>19</u>	x \$78.00 =	\$ <u>1,482.00</u>
MULTIPLE DEPENDENT CLAIMS			+ \$260.00 =	\$ <u>260.00</u>
			BASIC FEE	\$ 690.00
			Total of above Calculations	\$ <u>5,888.00</u>

To the extent not tendered by check, authorization is given to charge any fees under 37 CFR 1.16 and 1.17 during pendency of the application, or to credit any overpayment, to Deposit Account No. 06-1378. Duplicate copy of this letter is enclosed.

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C.

By: *Richard S. Barth*
RICHARD S. BARTH
Reg. No. 28,180

RSB/ddf
Encs.



RECEIPT ACKNOWLEDGED:
980126C/HG - N. SERIZAWA et al.
TRANS. LETTER (indupl.); spec. claims, abst. (p.1-372);
formal dwgs. 1-73 (69 sheets); Executed ASSGNT.,
RECORDATION FORM COVER SHEET (PTO-1595), CHECK \$40. #77981
[RECORDING FEE]; Executed DECL.; two cert. copies of
PRIORITY DOCS. Hei 10-276881 and Hei 10-276882; COMPUTER
READABLE FORM (DISK) OF SEQUENCE LISTG, paper copy of
SEQUENCE LISTG., STATE...1.821(f); INFOR. DIS. STATEMENT,
Form PTO-1449 and publs. listed therein; SUB. OF
MICROORGANISM DEPOSITS, incl. copies of 17 microorganism
deposits and Eng. trans.; CHECK \$5,888. #77981 (FILING
FEE).

EXPRESS LABEL EL 425 833 425 US
DATE OF DEPOSIT: FEBRUARY 9, 2000
RSB/ddf



77981

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T-482/210
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DATE February 9, 2000

\$ 5,888.00

TO THE ORDER OF COMMISSIONER OF PATENTS AND TRADEMARKS

FIVE THOUSAND EIGHT HUNDRED EIGHTY EIGHT & 00/100 DOLLARS ☒ Secure Feature

THIS CHECK IS DELIVERED IN CONNECTION WITH THE FOLLOWING ACCOUNT(S)

970126 CIP/HG-SERIZAWA et al.

FRISHAUF, HOLTZ, GOODMAN

LANGER & CHICK, P.C.

New Filing of CIP APPLN.

⑈000007798⑈ ⑈021004823⑈ 300053495⑈ ⑈0000588800⑈

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605 THIRD AVENUE BR 30
NEW YORK, NY 10158

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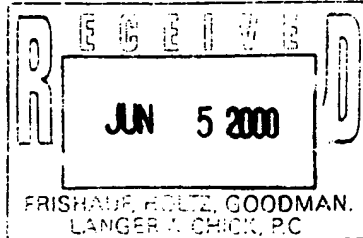
CORRECTED FILING RECEIPT

OC00000005150030


**UNITED STATES DEPARTMENT OF
COMMERCE
Patent and Trademark Office**

 Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/499,662	02/09/2000	1643	6446	980126CIP/HG	69	119	25

 Frishauf Holtz Goodman Langer & Chick P C
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New York, NY 10017-2023


Date Mailed: 06/01/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Continuing Data as Claimed by Applicant
 THIS APPLICATION IS A CIP OF 09/053,583 04/01/1998
AND A CIP OF 09/408,646 09/30/1999
Foreign Applications
 JAPAN HEI-9-82953 04/01/1997
JAPAN HEI-9-169088 06/25/1997
JAPAN HEI-9-276064 10/08/1997
JAPAN 10-276881 09/30/1998
JAPAN 10-276882 09/30/1998

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